

Summary of Progress as at end November 2012.

<u>Audit Title</u>	2012/13	Position as at end November 2012	Opinion
	Days		* indicates draft opinion
Managed Audits (Key Financial Systems)			
Accounts Payable/Purchase Ledger	30	Draft P1 report issued	Adequate*
Accounts Receivable/Sales Ledger	30	Draft P1 report issued	Adequate*
Asset Management (incl. Asset Register)/Capital Accounting	30	Work in progress.	
Council Tax	40	Final P1 report issued	Full
Housing Benefits	45	Draft P1 report issued	Adequate*
Main Accounting Systems (MAS)	25	Draft P1 report issued	Adequate*
National Non Domestic Rates NNDR	40	Final P1 report issued	Full
Payroll	40	Draft P1 report issued	Limited*
SWIFT Financials	15	Scoping in progress	
Framework i (Children's Services Case Management System)	15	Deferred to 2013/14	
Treasury Management	25	Draft P1 report issued	Adequate*
Housing Rents	25	Draft P1 report issued	Adequate*
Cash And Banking (Income)	20	Draft P1 report issued	Adequate*
Assurance Audits - Corporate Services			
Partnership Agreements and Joint Working	20	Work in progress	
Performance Management (i.e. Data Quality Management & BVPIs)	20	Not yet started	
Major Projects	20	Ongoing support provided to a number of projects including SAP Optimisation, SWIFT Disaggregation, Welfare Reforms, and Payment Card Industry Data Security Spend Scheme (PCIDSS)	
Assurance Audits - Children's Services			
Safeguarding Vulnerable Children	20	Deferred until 2013/14	
Commissioning/Out of Authority	20	Final report issued.	Adequate
Schools General - School Improvement	120	To date in 2012-13, 9 reports have been finalised and another 5 issued at draft stage.	
Assurance Audits - Social Care, Health and Housing			
Personalisation/individual Budgets	20	Not yet started	
Commissioning/Contracting	20	Work in progress	
Local Management of establishments (including Day Centres)	20	1 visit completed. 3 further visits planned.	
Financial Management	20	No longer required in 12/13. Substituted by ADASS And Village Care Scheme audit.	
Village Care Scheme		Work in Progress	
Association of Directors of Adult Social Services (ADASS) workbook - self assessment model for care providers		Work in Progress	
Assurance Audits - Sustainable Communities			
Carbon Reduction Commitment (Sustainability)	15	Deferred to 2013/14. Substituted by Leighton Buzzard Theatre Follow Up audit.	
Leighton Buzzard Theatre Follow Up		Final report issued.	Satisfactory progress.

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Assurance Audits - Governance			
Ethical Governance	5	Not yet started	
Assurance Audits - Contracts and Partnerships			
Service Level Agreements - Follow up	15	In progress	
Contracts Management	20	Not yet started	
Assurance Audits - ICT			
Information Security (hosted services)	0	IT audit programme reviewed and this audit no longer required. IT Contract management substituted.	
SAP Access & Authorisations	0	As above.	
IT Governance	15	Not started	
SAP Access and Security (incl IT DR)	15	Currently being scoped	
IT Contract Management	20	Currently being scoped	
Special Investigations			
National Fraud Initiative (NFI)	40	Ongoing. Required data sets provided to Audit Commission.	
Special Investigations	80	Ongoing. One investigation finalised since last committee..	
Pro Active Anti Fraud - Petty Cash Imprests	10	Final report issued	n/a
Pro Active Anti Fraud - Cash income	10	Draft report issued.	n/a
Ad Hoc Consultancy etc.			
Annual Governance Statement	5	Work concluded on 11/12 statement.	
Audit of Individual Grants	40	Work on 2 grant claims finalised. Work in progress on 3 further claims. The grants' management monitoring process is also being considered.	
General Advice	20	Ongoing	n/a
Contingency	92	Used to support major projects, work on Fighting Fraud Locally, and Fraud Benchmarking Work	
Professional Groups	12	Ongoing	n/a
Head of Audit Chargeable Against Plan	58	Ongoing	n/a
Risk Management Activities	18	Ongoing	n/a
Benchmarking Exercise	5	Completed	n/a

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Completion of reviews in progress as at 31st March:	130		
Accounts Payable 2011-12 Phase 2		Final report issued	Adequate
Accounts Receivable 2011-12 Phase 2		Final report issued	Adequate
Asset Management 2011-12 Phase 2		Final report issued	Adequate
Cash and Banking 2011-12 Phase 2		Final report issued	Full
Council Tax 2011-12 Phase 2		Final report issued	Adequate
Housing and Council Tax Benefits 2011-12 Phase 2		Final report issued	Adequate
Housing Rents 2011-12 Phase 2		Final report issued	Adequate
Main Accounting System 2011-12 Phase 2		Final report issued	Adequate
NNDR 2011-12 Phase 2		Final report issued	Adequate
Payroll 2011-12 Phase 2		Final report issued	Limited
SAP Access and Security 2011-12		Final report issued	Adequate
SWIFT Financials 2011-12		Final report issued	Limited
IT Disaster Recovery 2011-12		Final report issued	Limited
Budget Management		Final report issued	Adequate
Assurance on Client Charging		Final draft issued	Adequate*
Local Mgmt of Establishments: Domiciliary Care Units		Work in progress	
Contract Management		Final report issued	Limited
Proactive Fraud: Appendix E Payments		Final draft issued	N/A
Derwent Lower School		Final report issued	Limited
Downside Lower School		Final report issued	Limited
Ivel Valley School		Final report issued	Adequate
Kensworth Lower School		Final report issued	Adequate
Linslade Lower School		Final report issued	Adequate
Oakbank School		Final report issued	Limited
Linsell House		Final report issued	Adequate
Section 106 Follow Up		Final report issued	Satisfactory progress
TOTAL CHARGEABLE DAYS REQUIRED	1300		